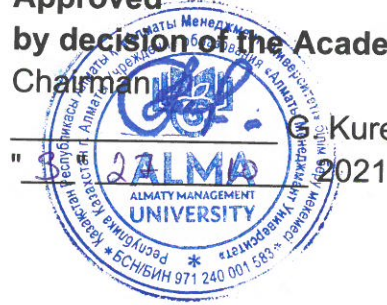




ALMA
ALMATY MANAGEMENT
UNIVERSITY

Approved
by decision of the Academic Council
Chairman



**CODE OF ETHICS FOR RESEARCHERS
OF EI "ALMATY MANAGEMENT UNIVERSITY"**

	Position	Full name	Signature	Date
Developed by	Director of the Information and Resource Management	Buldybayev T.K.		26.10.2021

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Almaty, 2021

CONTENT

GLOSSARY	3
1. GOALS	5
2. GENERAL PROVISIONS	5
3. ALMAU VALUES.....	5
4. ETHICAL PRINCIPLES	6
5. ETHICAL STANDARDS	6
6. ETHICAL ASSESSMENT OF RESEARCH AND DISPUTE RESOLUTION	12
7. RESPONSIBILITY OF PARTICIPANTS IN RESEARCH ACTIVITIES	133

GLOSSARY

Conflict of interest	a situation in which material or immaterial factors create or may create obstacles to the independent and impartial performance of the researcher's duties ¹
Informed consent	A fundamental process for ensuring respect for people as research participants and ensuring that their participation in research is voluntary, informed. This means that no research can take place unless the research participant is fully informed of the essential elements of the study and the educational researcher has obtained consent to participate in the research from the participant or his legal representative. ¹
Reasoned refusal	The right of the researcher or Almaty University Management to refuse to participate in research in cases where its conduct is harmful to health, violates the law, University policy, this code and/or negatively affects the researcher, society and/or the environment
Plagiarism	Use in a written work of someone else's text or other copyrighted work (specific experience, experimental data, results obtained, ready-made statistics, someone else's tables, diagrams, drawings, published in paper or electronic form, or obtained from other sources) without full reference (i.e. e. without indicating the name of the author and the source of borrowing) or with references, but in such a way that the volume and nature of borrowing is excessive and casts doubt on the independence of the work performed. Failure to match the reference numbers at the end of citations with the source number in the List of Used References is also considered plagiarism. ²
Cheating	Reproduction of texts and works of others while performing one's own work in such a way that verification establishes the fact of such reproduction. In addition, copying is considered to be borrowing text from one's own earlier works.
Self-plagiarism	A type of plagiarism where the author entirely or reuses parts of previously produced own text when writing a new work ²
Confidentiality	Confidentiality is the researcher's obligation not to use personal information about research participants for any

¹Code of Ethics for Researchers in Education of Kazakhstan. – First edition. – Nur-Sultan: Kazakhstan Society of Researchers in Education, 2020. – 68 p.

²Regulations on checking written works for plagiarism based on the StrikePlagiarism system. – № 9 dated April 29, 2020, Almaty Management University

purpose other than the purpose for which it was provided. The conditions for the collection, processing and storage of personal data are provided in accordance with the Law of the Republic of Kazakhstan "On Personal Data and Their Protection" ¹

Ethics commission

A collegial body that makes decisions on the compliance of dissertations and/or research with the principles and standards of the Epic Code of Research and considers conflict situations on issues of research ethics

1. GOALS

- 1.1. The Code defines the principles and standards of research ethics that should guide faculty, staff and students in research activities.
- 1.2. The Code will contribute to the development of a research culture at AlmaU and commitment to the Declaration on Science and the Use of Scientific Knowledge.³
- 1.3. The Code is focused on fulfilling the mission of AlmaU, which is shared by every member of the university community: “We make the world a better place through the development of education, research and entrepreneurship.”

2. GENERAL PROVISIONS

- 2.1. The Code is mandatory for compliance by teaching staff, including part-time workers, employees and students in bachelor's, master's, MBA, doctoral and DBA programs at all stages of research activities.
- 2.2. Ethical principles and standards govern research activities, interaction with research participants and colleagues, attitude towards AlmaU and society as a whole.
- 2.3. The Code takes into account the Legislation of the Republic of Kazakhstan in the field of science ⁴and education ⁵, personal data protection ⁶, generally accepted principles and norms of scientific ethics, principles adopted by members of the League of Academic Integrity ⁷.

3. ALMAU VALUES

- 3.1. Leadership – We strive to be agents of change, demonstrate an entrepreneurial mindset and culture, and lead our own development.
- 3.2. Diversity and Inclusion – We value diversity and strive to create an environment of mutual respect where every employee and student contributes and succeeds.
- 3.3. Responsibility – we are responsible for the results of our activities to partners, students, colleagues, we create strong connections with them, working for the benefit of society.
- 3.4. Honesty and Openness – We are committed to intellectual honesty and openly convey our intentions in our work and communication, always ready to exchange ideas and improve.
- 3.5. Team spirit and synergy - we are a close-knit team of professionals working on the “Win-Win-Win” principle to ensure the synergistic success of the university, society, business and the state.

³Declaration on Science and the Use of Scientific Knowledge / UNESCO (1999) / Electronic resource: http://www.unesco.org/science/wcs/declaration_r.pdf

⁴Law of the Republic of Kazakhstan “On Science” dated February 18, 2011 № 407-IV / Electronic resource: <https://adilet.zan.kz/rus/docs/Z1100000407>

⁵Law of the Republic of Kazakhstan “On Education” dated July 27, 2007 № 319-III / Electronic resource: <https://adilet.zan.kz/rus/docs/Z0700000319>

⁶Law of the Republic of Kazakhstan “On personal data and their protection” dated May 21, 2013 N 94-V / Electronic resource: <https://adilet.zan.kz/rus/docs/Z1300000094>

⁷Charter of the Academic Honesty League / Electronic resource: <https://adaldyq.kz/documents>

4. ETHICAL PRINCIPLES

4.1. Honesty and integrity

- Responsible use of knowledge in all scientific fields, without misuse.
- Conducting quality research in the interests of all those who may be affected by it.
- Maintaining honesty and fairness at all stages of the study.

4.2. No tolerance for cheating and plagiarism. Respect for intellectual property

4.3. Competence and professionalism in research activities

4.4. Responsibility for results to society, the scientific and academic community.

- Application obtained research results for the benefit of society without any abuse, distortion or manipulation.

4.5. Openness of scientific results

- Openness of research results, information at all stages of research and the desire to disseminate the results obtained through publications and discussion with the scientific community.

4.6. Freedom of scientific creativity

- Freedom of belief and expression, a tradition of critical thinking and an atmosphere of open discussion of research questions and results.

5. ETHICAL STANDARDS

5.1. No conflict of interest

- 5.1.1. When conducting research work, teaching staff, staff and students must act in the interests of research participants.
- 5.1.2. In the process of planning and conducting research work, teaching staff, staff and students must pay due attention to issues of possible conflicts of interest between individuals and organizations.
- 5.1.3. In research applications, publications and speeches to the public, as well as during any examinations, teaching staff and employees are required to inform about existing conflicts of interest that could affect the reliability of scientific results and expert assessments.
- 5.1.4. If a conflict of interest is detected, teaching staff, employees and students must take measures to manage and eliminate or minimize the conflict of interest.
- 5.1.5. Teaching staff, employees and students must notify of the presence of a conflict of interest in cases of involvement as an independent expert, member of a research group or reviewer of a research work.

5.2. Communicating the risks and benefits of the research

- 5.2.1. In the process of planning and conducting research work, teaching staff, staff and students must identify potential risks of harm to research participants and take the necessary measures to eliminate or minimize them.
- 5.2.2. Faculty, staff and students must notify research participants of the potential risks to them, society and the environment before the start of the research.

- 5.2.3. In the process of conducting research work, teaching staff, staff and students must avoid the negative impact of the research on the emotional state and health of participants.
- 5.2.4. Faculty, staff and students should inform study participants about the potential benefits and benefits of participating in the research.

5.3. Informed consent

- 5.3.1. Before conducting the study, teaching staff, staff and students must obtain informed consent from the study participant.
- 5.3.2. If research work involves third-party research participants, whose involvement requires official approval, teaching staff, employees and students must obtain permission from the appropriate person, body or organization.
- 5.3.3. Potential research participants should be fully informed about the research work before their consent to participate is obtained. This consent is documented and serves as a bilateral agreement between the researcher and the research participant, which is the starting point of a communication process between the researcher and the participant that begins before the study begins and continues throughout the study.⁸
- 5.3.4. Informed consent is drawn up in any form. Teaching staff, staff and students are responsible for developing the text of informed consent. The informed consent must be written in a language understandable to the potential research participant. It should include, but not be limited to the following information:
 - a. Objectives of the research.
 - b. Procedure for selecting participants of the research.
 - c. Brief description of the research.
 - d. Notification of voluntary participation in the research.
 - e. Notification of the possibility of refusing to participate in the research at any stage of the research.
 - f. Description of possible risks or inconveniences for the research participant.
 - g. Description of the expected benefit of the research to society and to the individual.
 - h. Description of ensuring the confidentiality and safety of the data and information obtained from study participants.
 - i. Terms of payment for participation in the research (if available).
 - j. A contact person to contact regarding research questions.

⁸Guidelines for Research Ethics / Ministry of Health of the Republic of Kazakhstan National Scientific Center for Maternity and Childhood Kazakh State Medical Academy

5.4. Reasoned refusal

- 5.4.1. AlmaU does not limit the freedom of scientific creativity and does not force teaching staff, employees and students to conduct a research.
- 5.4.2. AlmaU, teaching staff, employees and students must refuse research in cases where its conduct is harmful to health, violates the law, University policy, this code and/or negatively affects the researcher, society and/or the environment.

5.5. Using proper research methods

- 5.5.1. Teaching staff, staff and students are required to use appropriate methods, base their conclusions on a critical analysis of the data obtained and ensure completeness and objectivity in the presentation and interpretation of the results of the research work carried out.
- 5.5.2. Teaching staff, employees and students are required to be aware of regulations and standards governing the conduct of research work and strictly follow them.

5.6. Ensuring privacy and access to data

- 5.6.1. In the process of planning and conducting research work, teaching staff, staff and students must take the necessary measures to ensure the safety and confidentiality of the data and information used.
- 5.6.2. When working with personal data of potential research participants, teaching staff, employees and students must adhere to regulations in the field of protection, storage and use of personal data.
- 5.6.3. When collecting and processing personal data of research participants as part of research work, teaching staff, employees and students must anonymize them.
- 5.6.4. The information obtained from the study participants, in accordance with the informed consent obtained, is confidential.
- 5.6.5. When providing access to third parties, including members of the research group and partner organizations, to confidential information of research participants, responsibility for safety is shared.
- 5.6.6. Teaching staff, employees and students are independently responsible for the methods used to ensure the safety and protection of confidential information on any of the possible storage media, as well as their transmission through various communication channels.
- 5.6.7. Teaching staff, employees and students may not respect the confidentiality of data in the following cases:

- a. use of publicly available personal data: biographical directories, telephone, address books, publicly available electronic information resources, media. Publicly accessible personal data is personal data or information that, in accordance with the legislation of the Republic of Kazakhstan, is not subject to confidentiality requirements, access to which is free with the consent of the subject.
- b. providing the consent of the research participant to disclose information about himself.
- c. the information was obtained during a public event or in a public place when the research participant does not need a guarantee of confidentiality and informed consent.

5.7. Ensuring safety when working with vulnerable research participants

- 5.7.1. Teaching staff, staff and students, depending on the research methodology, can interact with different categories of research participants (low-income people, citizens with disabilities, etc.).
- 5.7.2. Faculty, staff and students should consider safe methods of participation in a research project for certain categories of research participants. It is necessary to be guided by the interests of the research participants.
- 5.7.3. Faculty, staff and students must inform vulnerable research participants (or their legal representatives) about the risks potentially arising from research work. Any unexpected harm to a participant that occurs during the course of the study must be immediately brought to the attention of them or their legal representatives.
- 5.7.4. Faculty, staff and students will bear moral responsibility for any activity that has a significant impact on certain categories of research participants.

5.8. Publication and dissemination of research results

- 5.8.1. Guided by the principles of this Code, authors of scientific publications undertake to provide original and reliable materials.
- 5.8.2. The authors guarantee that the manuscript is free of plagiarism, and that references to the works of other authors (sources) are properly formatted.
- 5.8.3. This code calls for recognizing the authorship of each researcher who makes a significant contribution to the work on the article and sharing responsibility for published materials among all co-authors. At the same time, it is not allowed to include senior and junior colleagues in the list of authors under duress or pressure.
- 5.8.4. Faculty, staff and students are required to take responsibility for their participation in all publications, applications for funding of research projects, research reports and other materials that reflect the results of the research.
- 5.8.5. Authors of publications must indicate their affiliation with Almaty Management University and bear full responsibility for choosing the journal.

- 5.8.6. The authors recognize that scientific publications largely shape the reputation of both the researcher and AlmaU and strive to publish in quality journals, avoiding publication in predatory journals and publishers. By high-quality journals, AlmaU understands existing peer-reviewed journals, indexed by authoritative databases Web of Science, Scopus, RISC, recommended by the Higher Attestation Commission, CQASE, etc. with high scientometric indicators and not included in the lists of journals excluded from databases included in the Beale list or others blacklists.
 - 5.8.7. Authors should not use the services of intermediary companies providing services for writing and/or supporting the publication of manuscripts in scientific journals.
 - 5.8.8. Plagiarism in any form (unformatted quotations, paraphrasing or appropriation of rights to the results of other people's research) or an attempt to bypass the Anti-Plagiarism system are unethical and unacceptable actions. The authors of the publication and research work are jointly responsible for the results of the research.
- 5.9. Cooperation and (or) collaboration of researchers with external stakeholders
- 5.9.1. When implementing scientific projects, teaching staff, staff and students undertake to adhere to a high level of professionalism and responsibility when working together with external stakeholders, to comply principles and standards of this Code, which, among other things, apply to interaction with colleagues from other organizations, both domestic and foreign.
 - 5.9.2. Teaching staff, employees and students should not commit actions that could harm the professional reputation of colleagues and AlmaU. If there is irrefutable evidence of unethical or unprofessional actions of teaching staff, employees, students, the Ethics Commission must give them an appropriate assessment in an open, impartial discussion.
 - 5.9.3. Teaching staff should not interfere with the cooperation of students with researchers and students of other universities and/or research institutes as part of participation in research projects and scientific events.
 - 5.9.4. Teaching staff and employees can act as an expert, reviewer, subject to maintaining impartiality, objectivity and confidentiality.
 - 5.9.5. Teaching staff and employees should not participate in research teams and/or review work and/or act as an expert in scientific fields in which they are not specialists and do not have sufficient competencies.
- 5.10. Speeches by faculty and staff at scientific and public events
- 5.10.1. Faculty and staff, when criticizing and discussing scientific results and/or participating in debates, must strictly adhere to the principles of fairness, objectivity, professionalism and maintain independence.
 - 5.10.2. When speaking in public discussions about the application and significance of the results obtained, faculty and staff in their conclusions should not exceed the boundaries of the scope of their recognized professional

competence and must clearly separate their professional judgments from private and preconceived opinions.

- 5.10.3. Interpretation of facts in an arbitrary manner or for the sake of personal interests and coercion in the preparation and provision of expert opinions are unacceptable.

5.11. Regulation of experiments on animals and humans

- 5.11.1. Faculty, staff and students must be aware of the responsibility for the possible danger to individuals, animals, society, the economy or nature that may be caused by the use of untested new scientific knowledge.
- 5.11.2. AlmaU, faculty and staff are aware of the need to conduct experiments on humans and animals in certain types of research. Moreover, such studies must be carried out in strict accordance with the norms of the law, this Code, with full informed consent on the part of all study participants, all permits for conducting the experiment and the right of the subject to withdraw from the study at any stage.
- 5.11.3. At AlmaU, it is not allowed to conduct experiments on animals and people in the absence of the appropriate level of qualifications and competencies on the part of teaching staff, employees and students.
- 5.11.4. Faculty, staff and students undertake to treat animals and other research objects with due care and respect. Scientific research on animals should be carried out only in cases where there is no other alternative, for the sake of progress in science, provided that animal suffering is avoided.
- 5.11.5. AlmaU and (or) teaching staff, employees and students must create the necessary conditions for the experiment.

5.12. Preventing the negative impact of research on the environment

- 5.12.1. Teaching staff, staff and students must take all necessary measures to prevent the negative impact of research work on the environment.
- 5.12.2. Research work must be carried out in a manner that does not harm the environment. If such negative impacts cannot be avoided, they should be minimized and the natural environment, after completion of the study, restored to the state of the researcher's intervention.

5.13. Mental well-being of researchers

- 5.13.1. Teaching staff, staff and students must be aware of the responsibility for the results of research to society. Before starting the study, teaching staff, staff and students must be in a satisfactory emotional state.
- 5.13.2. When working with research participants, teaching staff, staff and students must adhere to scientific methodology and not succumb to various kinds of provocations. In cases of increased emotional state, it is necessary to stop the study and notify the supervisor and/or the Ethics Commission.

5.14. Non-discrimination on gender, racial, social and other grounds and bullying by colleagues

- 5.14.1. In research activities, teaching staff, staff and students must adhere to the principle of equality in their activities and not discriminate against colleagues on the basis of race, gender, social status, political and religious views and other circumstances.
- 5.14.2. Conduct that has the purpose or effect of violating a person's dignity or creating an atmosphere marked by intimidation, harassment, hostility, humiliation or insults violates this Code.
- 5.14.3. The research work of teaching staff, staff and students should be depoliticized, that is, objective and independent of political considerations and factors.

5.15. Research Documentation and Accountability

- 5.15.1. Faculty, staff and students must maintain clear and accurate documentation at all stages of research work to ensure the possibility of verification and reproduction of their work by other researchers.
- 5.15.2. Completed research work of teaching staff, staff and students should become the property of AlmaU to ensure continuity and training of students based on research.
- 5.15.3. Project managers and performers are aware of their responsibility to inspection authorities in terms of verifying reporting and financial documentation.

6. ETHICAL ASSESSMENT OF RESEARCH AND DISPUTE RESOLUTION

- 6.1. For the ethical assessment of a research project, doctoral dissertations or other scientific work, an Ethics Commission operates.
- 6.2. Teaching staff and AlmaU employees may be invited to the Commission.
- 6.3. The commission considers the application of teaching staff, employees and doctoral students for compliance of the research or dissertation with ethical standards in accordance with this Code (Appendix 1 - Application Form).
- 6.4. Based on the results of consideration of the doctoral student's research or dissertation, the Ethics Commission makes a decision on the compliance of the work with ethical standards and the absence of violations relating to the process of planning, evaluation, selection, conduct and dissemination of scientific research results, including the protection of the rights, safety and well-being of research objects, including wildlife objects and habitat (Appendix 2 – Conclusion form).
- 6.5. When considering research papers or other scientific work of teaching staff and employees, the Ethics Commission considers for compliance with the Ethical Principles and Standards in accordance with this Code according to the following criteria:
 - a. The research project is fully ethical and can be carried out in its current form.
 - b. The research project as a whole complies with ethical standards, and can be implemented after making a number of amendments and changes that are not significant from an ethical point of view.

- c. The research project does not fully comply with ethical standards. It can be implemented after revision taking into account the comments and recommendations made, as well as re-consideration by the Commission.
 - d. The research project does not comply with ethical standards and cannot be approved for implementation.
- 6.6. Teaching staff, staff and students have the right to appeal the decision of the Ethics Commission.
- 6.7. Faculty, staff and students are required to report unacceptable behavior by colleagues, including fabrication and falsification of data, plagiarism, and irresponsible actions that undermine the integrity of research, such as negligence, improper list of authors, concealment of contradictory data or the use of misleading scientific methods or other actions that raise doubts.
- 6.8. An official complaint or disagreement about a violation of the Ethical Principles and Standards must be submitted in writing to the Information and Resource Department with the right of voluntary motivated withdrawal. Anonymous statements or accusations should not be accepted for consideration by the Ethics Commission. Teaching staff, employees and students are responsible for the completeness and correctness of the information provided.
- 6.9. If it is impossible to directly apply this Code to resolve private controversial situations, the Ethics Commission may be guided by internal documents of AlmaU or its own opinion based on professional experience.
- 6.10. The Ethics Commission is responsible for impartial review of research, thoroughly informed assessment, and undertakes to strictly adhere to the principles of fairness, objectivity, professionalism and maintain independence, clearly distinguishing its professional judgments from private and preconceived opinions.
- 6.11. All controversial issues must be resolved with mutual respect and the use of proven facts.

7. RESPONSIBILITY OF PARTICIPANTS IN RESEARCH ACTIVITIES

- 7.1. AlmaU is responsible for creating and maintaining favorable conditions for engaging in research activities that contribute to the conscientious conduct of research, including by ensuring access to scientific and technical information resources and the functioning of a system for stimulating research activities.
- 7.2. Teaching staff, staff and students are required to follow ethical principles and standards when carrying out research activities.
- 7.3. Ignorance of the principles and standards of the Code does not relieve responsibility for non-compliance.
- 7.4. Disciplinary measures are applied to AlmaU employees, including part-time workers who violate the principles and standards of this Code, in accordance with the internal labor regulations and the employment contract.
- 7.5. In relation to AlmaU students who violate the norms of this Code, measures are taken in accordance with the provisions of this Code and the training agreement,

up to and including expulsion. If cheating or plagiarism is discovered in a doctoral or master's dissertation, disciplinary measures are immediately taken, including expulsion.

To the Chairman
of Commission for Ethical Assessment of
Research at Almaty Management University

Full name
From a doctoral student at the School

Name

Full name

Contacts:

mobile phone, email address

Application

I ask you to consider the materials of the dissertation research "*title of the dissertation*" at a meeting of the Commission for Ethical Assessment of Research and issue an appropriate conclusion. The dissertation was completed in the specialty: _____ (*indicate the code of the specialty or the direction of study*).

The description of the project is presented in the Attachment to the application.

Author of the dissertation
Full name

Signature, date

Project Description

1. Title of the dissertation/research					
2. Aim and brief summary					
3. Project manager (<i>full name, academic position</i>)					
4. Contact information of the project manager (e - mail, phone)					
5. Department/School in which the dissertation/research is carried out					
6. Time frame for research/doctoral studies					
7. Sources of research funding (<i>applies only to research work</i>)					
8. Journals or scientific publications in which the results of the study were published with references					
9. Brief description of the research methodology. Data collection methods used in the project	<p>A. Laboratory experiment B. Field experiment B. In-depth/unstructured/semi-structured interview D. Mass survey/testing (in the form of a personal interview or self-completion) D. Focus group E. Observation G. Other (<i>specify</i>)</p> <p><i>It is possible to attach to the application the tools that were used to collect data (experiment or observation protocol, questionnaire, interview guide or focus group, etc.), including an informed consent form</i></p>				
Please answer the questions regarding the research by marking YES, NO or NOT APPLICABLE according to your research design:					
1. Are the research participants informed of the purpose of the study and their level of participation in the research? <i>If "no" is selected, please provide an explanation below:</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; text-align: center;">YES</td> <td style="width: 25%; text-align: center;">NO</td> <td style="width: 25%; text-align: center;">-</td> <td style="width: 25%;"></td> </tr> </table>	YES	NO	-	
YES	NO	-			
2. Are the research participants informed that their participation is voluntarily? <i>If "no" is selected, please provide an explanation in the box below:</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; text-align: center;">YES</td> <td style="width: 25%; text-align: center;">NO</td> <td style="width: 25%; text-align: center;">-</td> <td style="width: 25%;"></td> </tr> </table>	YES	NO	-	
YES	NO	-			
3. Was the informed consent obtained from the research participants? <i>If "no" is selected, please provide an explanation in the box below:</i>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; text-align: center;">YES</td> <td style="width: 25%; text-align: center;">NO</td> <td style="width: 25%; text-align: center;">-</td> <td style="width: 25%;"></td> </tr> </table>	YES	NO	-	
YES	NO	-			

<p>4. If the research provided audio- or video recording of the participants' behavior, whether their consent to recording was asked? <i>If "no" is selected, please provide an explanation in the box below:</i></p>	YES	NO	N/A
<p>5. Are the research participants informed of the possibility of refusal from participation by any reason before the beginning and during the research? <i>If "no" is selected, please provide an explanation in the box below:</i></p>	YES	NO	-
<p>6. In the case of a survey/test, was there an option for respondents to hesitate or skip a question if they did not want to answer it? <i>If "no" is selected, please provide an explanation in the box below:</i></p>	YES	NO	N/A
<p>7. Was personal information about respondents collected during the research? <i>If "yes" is selected, please describe what information you plan to collect:</i></p>	YES	NO	N/A
<p>8. Is the confidentiality of the personal data obtained ensured so that if the research results are published, it would not be possible to find out to whom exactly certain data relate? <i>If "yes" is selected, please describe how the confidentiality of the received data will be ensured.</i> <i>If "no" is selected, please provide an explanation in the box below:</i></p>	YES	NO	N/A
<p>9. If an experiment was conducted, did pre-trained participants participate in any capacity? <i>If "yes" is selected, please provide an explanation in the box below:</i></p>	YES	NO	N/A

Appendix 2
Form of the
Conclusion of the Ethics Commission

Form
Conclusion of the Ethics Commission _____
name of the university

1.	Doctoral student's full name	
2.	Specialty (educational program) of doctoral studies	
3.	Period of doctoral studies	
4.	Dissertation topic, approval date	
5.	Information about scientific consultants - full name, positions and places of work, academic degrees, citizenship	
6.	Objects of the research	
7.	Irregularities in the planning, assessment, selection and conduct of scientific research	Violations were detected or not detected. If violations are detected, they must be indicated.
8.	Violations in the process of disseminating scientific research results	Violations were detected or not detected. If violations are detected, they must be indicated.
9.	How were the rights, safety and well-being of research subjects (in the case of wildlife and habitats) protected?	

Chairman of the Ethics Commission

(signature, full name)

Secretary of the Ethics Commission

(signature, full name)